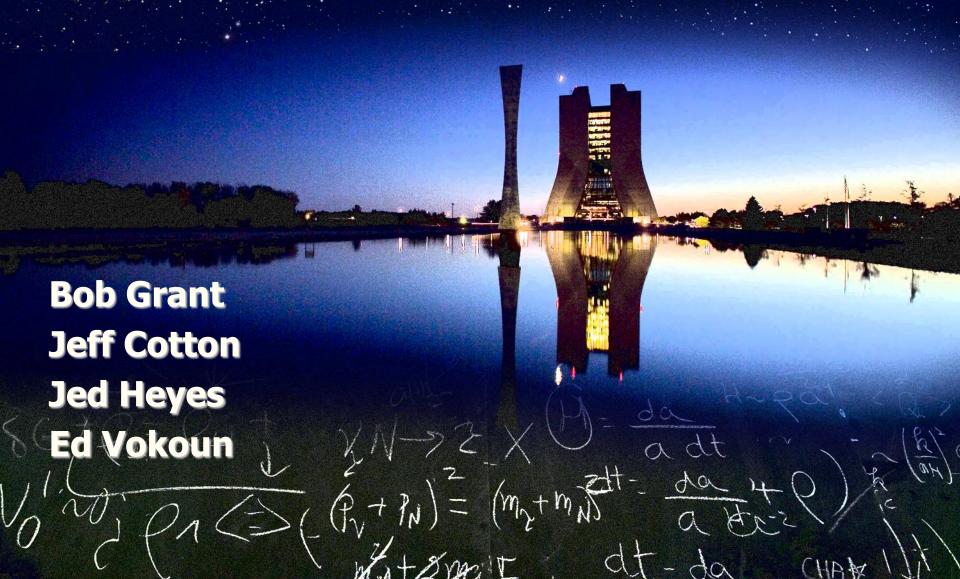
IQA & CA Implementation Oct 07, 2010



IQA Implementation

Quality Assurance Program Implementation



2009 DOE QA Concerns - CAP Status

- Open 2009 QA CAPs
 - C6 Documentation of acceptance testing varies OQ-01/04/2010-04
 - Inspection, test & S/CI assessment, Q1 FY2011
 - ❖ C7 & C8 Identify assessment criteria, define terms OQ-01/04/2010-02
 - C7 Mgmt. Assess. Procedure updated & posted complete
 - C8 Indep. Assess. Procedure updated & posted complete
 - Manual revised to incorporate H13 Clause requirements
 - ES&H/QA taskforce future integration FESHM & QA procedures



2009 DOE QA Concerns - CAP Status

- Existing As-Is CAPs
 - ❖ C5 Fermilab Chief Engineer OQ-05/22/2009-01 No change
 - C4 & SC1 (sig. concern) Inconsistent engineering practices, lack of Engineering Manual – OQ-05/22/2009-02 – complete
 - ❖ C9 & C10 S/CI roles & disposition OQ-05/30/2009-06 complete
 - Other activities
 - Collected training samples
 - S/CI tags approved by COO, ordered by Property Manager
 - FESHM update planned to reference the new tag
 - Upcoming S/CI assessment



2009 DOE QA Concerns – 4 CAPs from 2006 DOE QA assessment

- Criterion 2 Job specific training OQ-08/10/2009-01 complete
 - System enhancements in process, pilot runs planned
- Criterion 4 Document management OQ-08/05/2009-01
 - EDMS program of work completed 06/01/10
 - Other documents draft plan complete, final plan Q2 FY2011
 - Split above into two CAPs; CIO, COO
 - » COO for non IT (policy, process, procedures)
- Criterion 4 Records management OQ-08/05/2009-02 85% by 09/30/10
- Criterion 8 Calibration AD-05/07/2009-03 complete by 11/01/10



Project QA Status

- Science / Project QA
 - Project QAE
 - Presentation on QA to management & project teams in review
 - Director's approved project QA charge
 - Began providing feedback on QA Plans (Minerva, Mu2e)
 - Developing templates, make available to L1, L2 project managers
 - Science As-Is baseline & Final Report completed
 - AD for Research deciding on Review Committee versus just AD
 - Review gaps, recommend & assign CAPs
 - Minerva, MINOS, Muon Accelerator, D0, Lattice QCD



Assessment Program

- OQBP Assessment program
 - FY2010 Completed
 - FESS time & materials
 - AD Linac power amp. tube management
 - BSS Wired telecom
 - ES&H Nuclear materials management
 - consolidated ES&H, QA
 - TD Work Process Controls (report currently in review)
 - consolidated tripartite DOE, ES&H, QA
 - CD WAN Final report issued
 - AD Power outage recovery Large, final report in progress



Assessment Program

- OQBP Assessment program 3 year approach
 - ❖ All QA criteria to be assessed FY2011-FY2013
 - All D/S/Cs
 - Scientific Research Projects
 - Priorities & sequence based on
 - Outstanding CAPS (Inspection & Test)
 - Program Maturity (Records Mgmt, M&TE)
 - V&V
 - Risk
 - Emerging issues take precedence
 - Results of previous assessments



Assessment Program

- OQBP Assessment Plan
 - ❖ FY2011
 - S/CI inspection & test all D/S/Cs Q1, Q2
 - Scientific editorial review (by request) Q1
 - Records management Q2, Q3
 - EVMS Q2
 - OQBP V&V for closed assessment CAPs all D/S/Cs Q3
 - M&TE all D/S/Cs 2 Large x-cutting Q4



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Other

- ♦ Software, frESHTRK versus Vector possible qaTRK overlay
- Automated Graded Approach Tool
 - QARs unfavorable experiences, reviewing alternatives
- ♦ Schedule consolidation lists complete, maintenance ongoing
 - Need FSO input on DOE scheduled assessments
- Improved Integration with ES&H Management System
 - New ES&H/OQBP task force (SSOs & QA Mgr)
 - Updating FESHM & new QA RCA procedure resolving CD inputs
 - Next will be CAPA, & Assessment Manual
- Improved S/CI Online Training
- Fermi New Online Assessment Program Training

Oct09 Jan10 Apr10 Jul10 Oct10 Jan11 Apr11 Jul11 Oct 11 Jan11 Complete Root Cause Analysis Training & Graded Approach Complete S/CI Program Establish Lessons Learned Program Complete **Build CAPA Procedure** Complete Build Management (Self) Assessment Procedure Complete Science As-Is Complete Conduct Assessment Complete Final Report **CAP Development and Approval Continuous Process Improvement**



More Discussion Items

- ♦ FSO OQBP Joint Activities
 - Next quarterly DOE QA brief by 15 Mar 2010
 - Meeting to discuss FSO input on DOE assessments for consolidation
 - Meeting to discuss next DOE QA assessment
 - scheduling, criteria, scope etc
 - Other meetings needed?



IQA Implementation

Contractor Assurance Program Implementation



Future Targets Against Schedule

Contractor Assurance

Modify FICAP to Accommodate H13 Clause

Build Consolidated Assessment Program

Complete Management Review Procedure

Complete Worker Feedback Procedure

Contract Mapping, Orders, Policies, etc

Management Systems, Owners, Documents

Define New Policy Manual

Create New Policy Manual

FRA Board of Directors Role

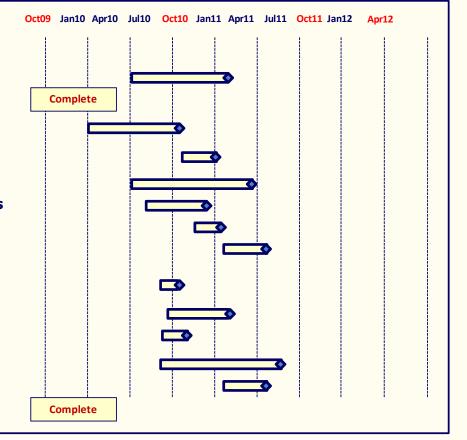
Reporting & Metrics

Revise Assessment Manual

Communicate CAS to Fermilab

Benchmarking

Lessons Learned





IQA Implementation

Questions?

